JC06 Rec'd PCT/PTO 21 SEP 2005

FORM PTO-1390 (REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 125385

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) New U.S. National Stage of PC 470 98603

| | C | ONCERNING A FILING UN | 10/550202 | | | | | | | |
|--|-------------|---|--|---|--|--|--|--|--|--|
| | | TIONAL APPLICATION NO. 4/08603 | PRIORITY DATE CLAIMED July 28, 2003 | | | | | | | |
| TITLE OF INVENTION SUBSTRATE PROCESSING EQUIPMENT | | | | | | | | | | |
| APPLICANTS FOR DO/EO/US Kazuo TANAKA; Masaaki UENO; Masashi SUGISHITA | | | | | | | | | | |
| Appli | cant l | herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| ابر | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. | | The US has been elected (Article 31). | | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | | a. 🛛 is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | | b. has been communicated by the International Bureau. | | | | | | | | |
| | | c. \square is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | | a. 🛛 is attached hereto. | | | | | | | | |
| | | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| | | c. | | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| | | d. have not been made and wi | Il not be made. | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | | |
| 11. | \boxtimes | An Information Disclosure Stateme | ent under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. | | An assignment document for recor | ding. A separate cover sheet in co | mpliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| ·13. | \boxtimes | A preliminary amendment. | | | | | | | | |
| 14. | \boxtimes | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | |
| 16. | | A power of attorney and/or change | of address letter. | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 20. | | Other items or information: | | | | | | | | |

JC05 Rec'd PCT/PTO 21 SEP 2005

| U.S. APPLICATION NO. (if known New U.S. National Stage of PCT/JP04/08603 | 10/550 | ATTORNEY'S DOCKET NUMBER 125385 | | | | | | | |
|--|--|---------------------------------------|----------------------------------|-----------------------|---------------------------------------|--|--|--|--|
| 21. The following fee | | CALCULATIONS | PTO USE ONLY | | | | | | |
| 21. Z The following lee- | 3 are submitted. | | | 0.11001111011 | | | | | |
| | | | | | | | | | |
| BASIC NATIONAL FEE (3 | 7 CFR 1 492(a)): | | \$ 300.00 | \$300.00 | | | | | |
| SEARCH FEE (37 CFR 1.4 | | | | \$400.00 | · · · · · · · · · · · · · · · · · · · | | | | |
| International preliminary ex the USPTO as IPEA or IS industrial applicability for a national stage | amination report or w A and favorable as to Il claims presented in | \$ 166.55 | | | | | | | |
| Tiational stage | | | | | | | | | |
| International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA \$ 100.00 | | | | | | | | | |
| International search report the search fee is paid | provided to USPTO r | | | | | | | | |
| All situations not provided t | or above | | | | | | | | |
| EXAMINATION FEE (37 C | | · · · · · · · · · · · · · · · · · · · | | \$200.00 | | | | | |
| , | · / / / // | | | | | | | | |
| International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage | | | | | | | | | |
| All situations not provided t | or above | | \$ 200.00 | | | | | | |
| Surcharge of \$130.00 for fu | | | | \$ | | | | | |
| earliest claimed priority dat | | | | • | | | | | |
| TOTAL PAGES OF APPLICATION OVER 100 (0 - 100) | 0 ÷ 50 | = †0 | x 250 = | \$ 0 | | | | | |
| †round up to next intege | r | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | | | |
| TOTAL CLAIMS | 13- 20 | = 0 | x 50.00 = | \$0 | | | | | |
| INDEPENDENT CLAIMS | 4- 3 | = 1 | x 200.00 = | \$200.00 | | | | | |
| MULTIPLE DEPENDENT (| CLAIM(S)(if applicable | e) | + 360.00 = | \$ | | | | | |
| | | \$1100.00 | | | | | | | |
| Applicant claims small reduced by ½. | entity status. See 37 | \$ | | | | | | | |
| | | | SUBTOTAL = | \$1100.00 | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE = \$1100.00 | | | | | | | | | |
| Eac for seconding the opele | and assignment (27 (| \$1100.00 \$ | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | | | | |
| | | \$1100.00 | | | | | | | |
| | | | | Amount to be | | | | | |
| | | | refunded: | \$ | | | | | |
| | | | | charged: | \$ | | | | |
| b. | a. | | | | | | | | |
| sheet is enclosed. c. 🛛 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to | | | | | | | | | |
| Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| milorination si | iodio not be moidue | a on any joint, i joy | ao oroan oara miorina | mon and adminization | | | | | |
| NOTE: Where an appro | priate time limit und | ler 37 CFR 1.495 has | not been met, a peti | tion to revive (37 CF | R 1.137(a) or (b)) | | | | |
| must be filed an SEND ALL CORRESPON | d granted to restore IDENCE TO: | the application to po | ending status. | 1 1/ | / < . | | | | |
| OLIFF & BERRIDGE, PLC / Work & audim | | | | | | | | | |
| Customer Number: 25944 NAME: James A. Oliff REGISTRATION NUMBER: 27,075 | | | | | | | | | |
| Date <u>September 21, 2</u> | 2005 | NAME: Thom REGISTRATION | as J. Pardini DN NUMBER: 30,4 | 11 | | | | | |
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